



IFW

PATENT
Attorney Docket No. 228559
Client Reference No.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Cosman et al.

Group Art Unit: Unassigned

Application No. 10/823,260

Examiner: Unassigned

Filed: April 13, 2004

For: **PROCESS AND DISPENSING SYSTEM
FOR PREPARING LIQUID
CONCENTRATES FOR PLASTICS**

INFORMATION DISCLOSURE STATEMENT

Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- ☒ **within** any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as

set forth in 37 CFR 1.491 of an international application; (c) before the mailing date of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

- ☐ **after** (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes *one* of:

☐ the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).

or

☐ the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).

- ☐ **after** the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

- ☐ **after** the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and **within** thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed.

Copies of the References

- ☐ Copies of all of the references listed on the enclosed Form 1449 are enclosed herewith.
- ☒ This patent application was filed after June 30, 2003. Accordingly, copies of U.S. patents and patent applications that are listed on the accompanying Form 1449 are not enclosed herewith (see Official Gazette Notice of August 5, 2003). Copies of other references identified on the accompanying Form 1449 are enclosed herewith.
- ☒ Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3). An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3).
- ☐ A copy of the foreign search report is enclosed herewith.

- ☐ The references listed on the enclosed Form 1449 were previously identified in the parent application(s) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 USC 120 in which copies of the references were previously furnished are set out below:

U.S. APPLICATIONS		Status (<i>check one</i>)		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.				
2.				
3.				

Statement under 37 CFR 1.97(e)

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned** hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Statement under 37 CFR 1.704(d)

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Fees

- ☒ **No fee** is owed by the applicant(s).
- ☐ The **IDS Fee of \$180** under 37 CFR 1.17(p) is enclosed herewith.

In re Appln. of Cosman et al.
Application No. 10/823,260

Method of Payment of Fees

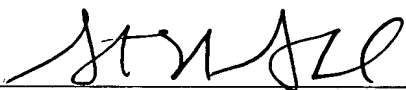
- ☐ Attached is a check in the amount of \$.
☐ Charge Deposit Account No. 12-1216 in the amount of \$. (A duplicate copy of this communication is enclosed for that purpose.)

Authorization to Charge Additional Fees

- ☒ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 12-1216. (A duplicate copy of this communication is enclosed for that purpose.)

Instructions as to Overpayment

- ☒ Credit Account No. 12-1216.
☐ Refund



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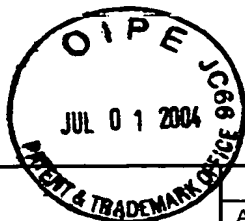
Date: June 28, 2004

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop , Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: June 28, 2004 Jenny Beales

Please type a plus sign (+) inside this box →



Substitute for form 1449A/B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

2

Complete if Known

Application Number	10/823,260
Filing Date	April 13, 2004
First Named Inventor	Jeffrey M. COSMAN
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	228559

U.S. PATENT DOCUMENTS

Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
	AA	2,848,019		Corbin et al.	August 19, 1958	
	AB	2,923,438		Logan et al.	February 2, 1960	
	AC	3,814,388		Jakob	June 4, 1974	
	AD	4,225,248		Para	September 30, 1980	
	AE	4,403,866		Falcoff et al.	September 13, 1983	
	AF	4,526,215		Harrison et al.	July 2, 1985	
	AG	4,538,221		Crain et al.	August 27, 1985	
	AH	4,705,083		Rossetti	November 10, 1987	
	AI	4,733,971		Pratt	March 29, 1988	
	AJ	4,813,785		Miller	March 21, 1989	
	AK	4,815,042		Pratt	March 21, 1989	
	AL	4,889,433		Pratt	December 26, 1989	
	AM	5,023,814		Guillemin	June 11, 1991	
	AN	5,116,547	A	Tsukahara et al.	May 26, 1992	
	AO	5,153,825	A	Yauk et al.	October 6, 1992	
	AP	5,219,224	A	Pratt	June 15, 1993	
	AQ	5,225,210	A	Shimoda	July 6, 1993	
	AR	5,340,211	A	Pratt	August 23, 1994	
	AS	Re. 34,776	E	Pratt	November 8, 1994	
	AT	5,409,310	A	Owczarz	April 25, 1995	
	AU	5,468,068	A	Hotchkiss, III	November 21, 1995	
	AV	5,522,660	A	O'Dougherty et al.	June 4, 1996	
	AW	5,559,173	A	Campo et al.	September 24, 1996	
	AX	5,592,940	A	Kampfe et al.	January 14, 1997	
	AY	5,713,062	A	Goodman et al.	January 27, 1998	
	AZ	5,723,517	A	Campo et al.	March 3, 1998	
	BA	5,781,828	A	Caruthers, Jr. et al.	July 14, 1998	
	BB	5,800,746	A	Jones et al.	September 1, 1998	
	BC	5,823,670	A	Rushing et al.	October 20, 1998	
	BD	5,833,364	A	Rushing et al.	November 10, 1998	
	BE	5,834,089	A	Jones et al.	November 10, 1998	
	BF	5,869,551	A	Caswell et al.	February 9, 1999	
	BG	5,889,089	A	Caswell et al.	March 30, 1999	
	BH	5,938,080	A	Haaser et al.	August 17, 1999	
	BI	6,007,236	A	Maguire	December 28, 1999	
	BJ	6,010,032	A	Vermynen et al.	January 4, 2000	
	BK	6,053,218	A	Boers	April 25, 2000	
	BL	6,221,145	B1	McClain	April 24, 2001	
	BM	6,349,300	B1	Graf et al.	February 19, 2002	
	BN	6,494,608	B1	Retamal et al.	December 17, 2002	
	BO	6,507,824	B1	Yon et al.	January 14, 2003	
	BP	6,719,453	B2	Cosman et al.	April 13, 2004	

Substitute for form 1449A/B/PTO

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Filing Date	April 13, 2004
First Named Inventor	Jeffrey M. COSMAN
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	228559

FOREIGN PATENT DOCUMENTS

Examiner Initials	Doc. No.	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Translation	
		Office	Application or Patent Number	Kind Code			Yes	No**
	B Q	GB	889,724		Sapolin Paints, Inc.	February 21, 1962		
	B R	EP	0 391 286	A1	BASF Corporation	October 10, 1990		
	B S	EP	0 484 564	A1	Kansai Paint Co., Ltd.	May 13, 1992		
	B T	EP	0 882 496	A2	Ecolab, Inc.	December 9, 1998		

OTHER - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation	
			Yes	No**

Examiner Signature

Date Considered

* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).